The SLO principle for Borel subsets of the generalized Cantor space

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Joint work with Luca Motto Ros and Philipp Schlicht

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A cofinality-indepedent approach

The higher analogue of the classical setting, obtained by replacing ω with κ ... or cof(κ)!

Remark: Let κ be an infinite cardinal. Then $\kappa^{<\kappa} = \kappa$ is equivalent to $2^{<\kappa} = \kappa$ and κ regular.

Our setup

Let κ be an uncountable cardinal that satisfies the condition $2^{<\kappa} = \kappa$.

Let λ, μ be cardinals, with μ infinite and $\lambda \geq 2$. We equip the set ${}^{\mu}\lambda = \{x \mid x : \mu \to \lambda\}$ with the bounded topology τ_b , generated by the sets

$$N_{s}(^{\mu}\lambda) := \{ x \in {}^{\mu}\lambda \mid s \subseteq x \}, \qquad s \in {}^{<\mu}\lambda.$$

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• Generalized Cantor space ^κ2.

• Generalized Baire space $cof(\kappa)_{\kappa}$.

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Theorem

If
$$\kappa$$
 is a singular cardinal and $2^{<\kappa} = \kappa$, then ${}^{\kappa}2 pprox {}^{\mathsf{cof}(\kappa)}\kappa.$

Wadge Reductions

Definition

Given $A, B \subseteq {}^{\omega}2$, let

$$A \leq_{\mathsf{W}} B$$

if there exists a continuous $f : {}^{\omega}2 \rightarrow {}^{\omega}2$ such that $f^{-1}(B) = A$.

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- Notice that $A \leq_W B$ if and only if $\neg A \leq_W \neg B$.
- Continuous reducibility is a transitive and reflexive relation, that is, a preorder.

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We set:

- $A <_W B$ iff $A \leq_W B$ and $B \not\leq_W A$.
- $A \equiv_W B$ iff $A \leq_W B$ and $B \leq_W A$.

The equivalence classes induced by \leq_W are called Wadge degrees

$$[A]_{\mathsf{W}} = \{B \mid A \equiv_{\mathsf{W}} B\}$$

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Note that the preorder \leq_W induces a partial order on the Wadge degrees: We call this partial order the **Wadge hierarchy**.

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 \leq_{W} is well-founded on **Bor**($^{\omega}2$).

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For all $A, B \in \mathbf{Bor}(^{\omega}2)$,

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The Wadge Semi-Linear Ordering principle (SLO^W) is the statement: For all sets $A, B \subseteq {}^{\omega}2$

$$A \leq_{\mathsf{W}} B$$
 or ${}^{\omega}2 \setminus B \leq_{\mathsf{W}} A$.

Given Γ boldface pointclass, we write SLO^W(Γ) if SLO^W holds for any $A, B \in \Gamma$.

Wadge game

For any $A, B \subseteq {}^{\omega}2$, the Wadge game $G_{W}(A, B)$ on ${}^{\omega}2$ is:

Player II is allowed to "pass" at some stages. Player II wins the game if $y \in {}^{\omega}2$ and $x \in A \iff y \in B$.

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Fact

- II has a winning strategy in $G_W(A, B) \iff A \leq_W B$.
- I has a winning strategy in $G_W(A, B) \Longrightarrow {}^{\omega}2 \setminus B \leq_W A$.

• Antichains have size at most 2, and they are of the form $\{[A]_W, [\neg A]_W\}$ for some $A \subseteq {}^{\omega}2$ such that $A \not\leq_W \neg A$.

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Definition

- A set $A \subseteq {}^{\omega}2$ is Γ -hard if for all $B \in \Gamma({}^{\omega}2)$, $B \leq_W A$.
- The set A is Γ -complete if it is Γ -hard and $A \in \Gamma(^{\omega}2)$.

Definition

Let Γ be a boldface pointclass.

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 \bullet Assume SLO W holds. Let $\pmb{\Gamma}$ be a non selfdual boldface pointclass, then

A is
$$\Gamma$$
-complete $\iff A \in \Gamma(^{\omega}2) \setminus \check{\Gamma}(^{\omega}2)$.

Definition

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$$\Rightarrow$$

Since A is Γ -hourd, $\forall B \in \Gamma \ B \leq w A$.
If it were $A \in \Lambda \Rightarrow \Gamma = \Lambda \not \Sigma$

Definition

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Let
$$A \in \Gamma \setminus \Gamma$$
.
For any $B \in \Gamma$, by SLO^* :
 $A \leq \pi^2 B$

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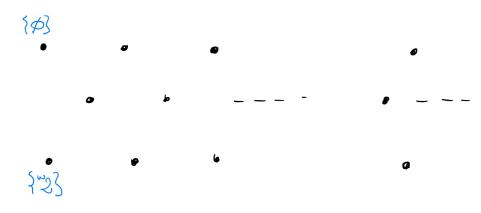
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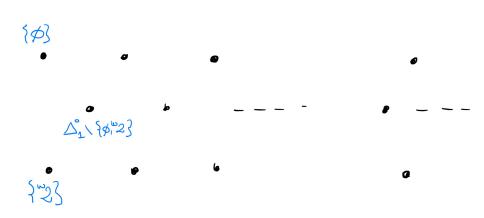
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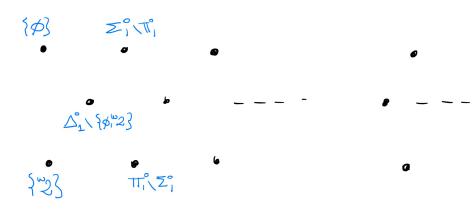
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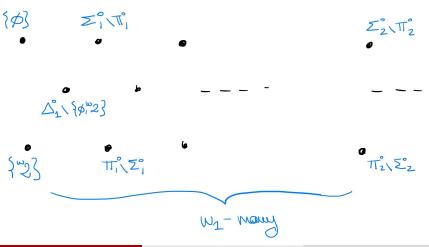
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SLO principle for Borel subsets of κ_2

 $\theta \in \text{Ord}$ can be uniquely written as $\theta = \lambda + n$ with λ limit or 0 and $n < \omega$.

Definition

Let $\theta \geq 1$ be an ordinal. If $(C_{\eta})_{\eta < \theta}$ is a decreasing sequence of subsets of a set X, we define $C = D_{\theta} ((C_{\eta})_{\eta < \theta}) \subseteq X$ by

$$x \in \mathsf{C} \iff \begin{cases} x \in \bigcap_{\eta < \theta} \mathsf{C}_{\eta} \lor \min \{\eta < \theta \mid x \notin \mathsf{C}_{\eta}\} \text{ is odd } & \text{for } \theta \text{ odd} \\ x \notin \bigcap_{\eta < \theta} \mathsf{C}_{\eta} \land \min \{\eta < \theta \mid x \notin \mathsf{C}_{\eta}\} \text{ is odd } & \text{for } \theta \text{ even} \end{cases}$$

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 $D_{1}(C_{0})=C_{0} \quad D_{2}(C_{1},C_{1})=C_{0}(C_{1},D_{3}(C_{0},C_{1},C_{2})=C_{0}(C_{1},C_{2}) \quad D_{w}((C_{1})_{1/2})=C_{0}(C_{1},C_{1})$



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Consider $X = ({}^\omega 2, \tau)$. For $1 \le heta < \omega_1$, we let

$$\mathbf{D}_{\theta}\left(\mathbf{\Pi}_{1}^{0}\right)=\left\{D_{\theta}\left(\left(C_{\eta}\right)_{\eta<\theta}\right)\mid C_{\eta}\in\mathbf{\Pi}_{1}^{0} \text{ for every } \eta<\theta\right\}.$$

We also define $\check{\mathbf{D}}_{\theta} (\mathbf{\Pi}_{1}^{0})$ to be the dual class of $\mathbf{D}_{\theta} (\mathbf{\Pi}_{1}^{0})$.

Theorem (Hausdorff, Kuratowski) In every polish space X and for any $1 \le \alpha < \omega_1$,

$$\mathbf{\Delta}^0_{lpha+1}(X) = igcup_{1 \leq heta < \omega_1} \mathbf{D}_{ heta} \left(\mathbf{\Pi}^0_{lpha}(X)
ight)$$

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Consider $X = ({}^{\kappa}2, \tau_b)$. For $1 \le \theta < \kappa^+$, we let

 $\mathbf{D}_{\theta}\left(\mathbf{\Pi}_{1}^{0}(\kappa^{+})\right) = \left\{ D_{\theta}\left((C_{\eta})_{\eta < \theta}\right) \mid C_{\eta} \in \mathbf{\Pi}_{1}^{0}(\kappa^{+}) \text{ for every } \eta < \theta \right\}.$

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A counterexample to Hausdorff-Kuratowski in GDST

Theorem

Let $X \subseteq {}^{\kappa}2$. If $Y \subseteq X$ is non-empty, dense and codense in \overline{X} , then $Y \notin \mathbf{D}_{\theta}(\mathbf{\Pi}_{1}^{0}(X, \kappa^{+}))$ for any $\theta < \kappa^{+}$.

Consider the sets

$$X := \{ x \in {}^{\kappa}2 \mid |\{ \alpha < \kappa \mid x(\alpha) = 1\}| < \aleph_0 \}$$

and

$$Y := \{ x \in {}^{\kappa}2 \mid \exists n < \omega | \{ \alpha < \kappa \mid x(\alpha) = 1 \} | = 2n \}.$$

Define also

$$Y^{c} := X \setminus Y = \{x \in X \mid \exists n < \omega | \{\alpha < \kappa \mid x(\alpha) = 1\} | = 2n + 1\}$$

SLO^W in <code>GDST</code>

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The generalized Wadge Semi-Linear Ordering principle (SLO^W_{κ}) says: For all sets $A, B \subseteq {}^{\kappa}2$

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However, there is no κ^+ -Borel determinacy for $\kappa > \omega$...

Generalized Gale-Stewart game

Let κ, λ be cardinals, with κ infinite and $\lambda \ge 2$. Given $A \subseteq {}^{\kappa}\lambda$, the generalized Gale-Stewart game $G_{\kappa}^{\lambda}(A)$ is

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Let $a := \langle a_0, a_1, ..., a_{\omega}, ... \rangle \in {}^{\kappa}\lambda$. Player I wins if $a \in A$ and II wins if $a \notin A$.

Fact

Let $\kappa > \omega$ and let $A \subseteq {}^{\omega}2$. Then, there is an extension $\overline{A} \subseteq {}^{\kappa}2$ of A such that $\overline{A} \in \mathbf{\Delta}_1^0(\kappa^+)$ and $G_{\kappa}^2(\overline{A})$ is equivalent to $G_{\omega}^2(A)$.

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$$\overline{A} = \bigcup \{ N_{S} : \ell h(s) = w \land s \in A \}$$

SINGULAR CASE

Theorem (Motto Ros, Schlicht)

(AC). Let X be an uncountable ultrametric Polish space. Then there is a map $\psi : \mathcal{P}(\omega) \to \mathcal{P}(X)$ such that for all $a, b \subseteq \omega$

1. if
$$a \subseteq b$$
, then $\psi(a) \leq_{\mathsf{L}} \psi(b)$;

2. if $\psi(a) \leq_{\operatorname{Bor}(X)} \psi(b)$, then $a \subseteq b$.

In particular, $(\mathcal{P}(\omega), \subseteq)$ embeds into the \mathcal{F} -hierarchy on X for every reducibility $L \subseteq \mathcal{F} \subseteq \mathbf{Bor}(X)$.

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Theorem (Motto Ros, P., Schlicht)

Let $\kappa > \omega$ with $cof(\kappa) = \omega$. Then, $(\mathcal{P}(\omega), \subseteq)$ embeds into the W-hierarchy on the $\mathbf{\Delta}_2^0(\kappa^+)$ subsets of ${}^{\omega}\kappa$.

Theorem (Motto Ros, P., Schlicht)

Let μ be an uncountable cardinal s.t. $\mu^{<\mu} = \mu$. Then, there is a map $\psi : \mathcal{P}(\mu) \to \mathcal{P}(^{\mu}\mu)$ such that for all $a, b \subseteq \mu$

- 1. if $a \subseteq b$, then $\psi(a) \leq_{\mathsf{L}} \psi(b)$;
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In particular, $(\mathcal{P}(\mu), \subseteq)$ embeds into the \mathcal{F} -hierarchy on ${}^{\mu}\mu$ for every reducibility $L \subseteq \mathcal{F} \subseteq Bor(\mu^+)$.

Theorem (Motto Ros, P., Schlicht)

Let κ be a singular cardinal. Then $(\mathcal{P}(cof(\kappa)), \subseteq)$ embeds into the W-hierarchy on the $\mathbf{\Delta}_2^0(\kappa^+)$ subsets of $cof(\kappa)\kappa$.

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REGULAR CASE

Definition

- Let $\mathcal{T} \subseteq {}^{<\kappa}\lambda$ with $\lambda \in \{2, \kappa\}$.
 - \mathcal{T} is pruned if for every $s \in \mathcal{T}$ there exists $x \in [\mathcal{T}]$ such that $s \subseteq x$.
 - T is $< \kappa$ -closed if every increasing sequence in T of length $< \kappa$ has an upper bound in T,
 - A node s ∈ T is splitting if there are two incomparable t, t' ∈ T extending s. The tree T is splitting if every node s ∈ T is splitting.
 - *T* is κ-perfect if it is < κ-closed and cofinally splitting, i.e. if for every t ∈ *T* there exists a splitting node u ∈ *T* with t ⊆ u.
 - A subset Y of ${}^{\kappa}\lambda$ is κ -perfect if $Y = [\mathcal{T}]$ with \mathcal{T} a κ -perfect tree.
 - A subset A of $\kappa\lambda$ has the perfect set property if $|A| \leq \kappa$ or A has a κ -perfect subset.

Theorem (Motto Ros, P., Schlicht)

Assume V = L. Then, there are proper $\Sigma_2^0(\kappa^+)$ -sets which are not $\Sigma_2^0(\kappa^+)$ -complete. Hence, $SLO_{\kappa}^W(\Sigma_2^0(\kappa^+))$ fails.

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Assume V = L. Then, there are proper $\Sigma_2^0(\kappa^+)$ -sets which are not $\Sigma_2^0(\kappa^+)$ -complete. Hence, $SLO_{\kappa}^W(\Sigma_2^0(\kappa^+))$ fails.

Proof:

let
$$T \leq \frac{\kappa}{2}$$
 st. [T] is k-Boure
[T] has no isolated pts
. T has no k-parted subtree
let $S \leq \frac{\kappa}{2}$ be a k-parted tree

Theorem (Lücke, Motto Ros, Schlicht)

Assume V = L. If κ is an uncountable regular cardinal, then there is a closed subset of $\kappa \kappa$ that does not satisfy the Hurewicz dichotomy.

Proposition (Lücke, Motto Ros, Schlicht)

Let $\mathcal{T}\subseteq{}^{<\kappa}\kappa$ be a pruned subtree with the following three properties:

- 1. ${\mathcal T}$ does not contain a perfect subtree;
- 2. the closed set $[\mathcal{T}]$ is κ -Baire,
- 3. every node in \mathcal{T} is κ -splitting.

Then the closed set $[\mathcal{T}]$ does not satisfy the Hurewicz dichotomy.

Theorem (Motto Ros, P., Schlicht)

Assume V = L. Then, there are proper $\Sigma_2^0(\kappa^+)$ -sets which are not $\Sigma_2^0(\kappa^+)$ -complete. Hence, $SLO_{\kappa}^W(\Sigma_2^0(\kappa^+))$ fails.

Proof:

let
$$T \leq x_2$$
 st. [T] is K-Boire
[T] has no isolated pts
T has no K-parted subtree
Let $S \leq x_2$ be a K-parted tree
VSCS pick $x_3 \in [S]$ st. $s \in x_5$ ~7 $A = \int x_5 \cdot s \in S_3^2$
VteT pick $x_4 \in [T]$ st. $t \in x_4$ ~7 $B = \int x_4 \cdot t \in T_3^2$

SLO^W_{κ} when κ is regular

Theorem (Motto Ros, P., Schlicht)

Assume V = L. Then, there are proper $\Sigma_2^0(\kappa^+)$ -sets which are not $\Sigma_2^0(\kappa^+)$ -complete. Hence, $SLO_{\kappa}^{W}(\Sigma_2^0(\kappa^+))$ fails.

Proof:

Let
$$T \leq x_2$$
 st. $[T]$ is k -Boure
 $[T]$ has no isolated pts
 T has no k -parked subtree
Let $S \leq x_2$ be a k -perfect tree
VSC S pick $x_3 \in [S]$ st. $s \in x_5 \quad \neg A = \int x_5 : s \in S \int$
Vt $\in T$ pick $x_4 \in [T]$ st. $t \leq x_4 \quad \neg T = \int x_5 : s \in S \int$
Note that: $A_1B \in Z_2^{\circ}(K^+)$
A B deuse and codense in regl. $[S], [T]$.
Beatrice Piton
SLO principle for Borel subsets of "2

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Assume V = L. Then, there are proper $\Sigma_2^0(\kappa^+)$ -sets which are not $\Sigma_2^0(\kappa^+)$ -complete. Hence, $SLO_{\kappa}^W(\Sigma_2^0(\kappa^+))$ fails.

Proof:

Fact

Let C be a closed set, $|C| > \kappa$, such that C is κ -Baire and there is $B \subseteq C$, $A \in \mathbf{\Sigma}_2^0(\kappa^+)$ dense and codense in C. Then, B is a proper $\mathbf{\Sigma}_2^0(\kappa^+)$ -set.

Theorem (Motto Ros, P., Schlicht)

Assume V = L. Then, there are proper $\Sigma_2^0(\kappa^+)$ -sets which are not $\Sigma_2^0(\kappa^+)$ -complete. Hence, $SLO_{\kappa}^W(\Sigma_2^0(\kappa^+))$ fails.

Proof:

CLAIM:
$$A \neq w B$$
.
T.C., let $f: k_2 \rightarrow k_2$ cont. $w A = F'(B)$.
By induction on se^{2k_2} , we construct: $2 \mu_s \cdot se^{2k_2} > in S$
 $2 \eta_s \cdot se^{2k_2} > in T$
St. $f[N \mu_s] \in N \eta_s$.

Theorem (Motto Ros, P., Schlicht)

Assume V = L. Then, there are proper $\Sigma_2^0(\kappa^+)$ -sets which are not $\Sigma_2^0(\kappa^+)$ -complete. Hence, $SLO_{\kappa}^W(\Sigma_2^0(\kappa^+))$ fails.

CLAIN:
$$A \neq w B$$
.
T.C., let $f: k_2 \rightarrow k_2$ cont. $w \neq A = F'(B)$.
By induction on se^{2k_2} , we construct: $2 \mu_5 \cdot se^{2k_2} > in S$
 $2 \nu_5 \cdot se^{2k_2} > in T$
 $M_7 = \nu_6 = \phi$
St. $f[N \mu_5] \subseteq N \nu_5$.
Given μ_5, ν_5 : pick $x_0, x_1 \in N_{45} n[5]$ $w \neq f(x_0)_{4} f(x_1)_{1}$
let $\nu_{5n_0} \perp \nu_{5n_2} = \nu_5$ st. $\nu_{5n_1} = f(x_1)$ and
 $U_{5n_0} \perp \nu_{5n_2} \cdot \sigma_5$.
By cont. of $f_1 = 3\mu_{5n_0}, \mu_{5n_2} = \lambda_5$ st. $\lambda_{5n_1} = x_{1}$,
 $\mu_{5n_0} \perp \mu_{5n_1}$ and $f(N_{45}) \in N \nu_{5n_1}$.
S of length & limit: $\mu_5 = U \mu_{5n_5}, \nu_5 = U \nu_{5n_5}$.
Bed

Theorem (Andretta)

 $SLO^W \Longrightarrow PSP.$

Theorem (Motto Ros, P., Schlicht)

Assume that $PSP_{\kappa}(\Pi_{1}^{0}(\kappa^{+}))$. Then, SLO_{κ}^{W} implies PSP_{κ} .

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$$^{\text{w}}$$
 { $X \leq ^{k}2 \cdot G$ (1)
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By SION
$$\begin{cases} X \leq \frac{1}{2} \leq \frac{1}{2} \end{cases}$$
 (1)
 $G \leq X$ (2)

If (2) then $\exists f_1 \stackrel{k}{\to} \stackrel{n}{\to} 1$ cont. s.t. $G = \overline{f}(X)$, we can construct a k-perfect hree $\top \subseteq \stackrel{an}{\to} 2$, $[T] \equiv G$ s.t. f(T] injective => f([T]) is a k-perfect subset of X

Let
$$G = \{x \in {}^{\kappa}2 \mid \forall \alpha < \kappa \exists \beta > \alpha(x(\beta) = 0)\}.$$

Theorem (Schlicht, Sziraki)

After a Levy-collapse of an inaccessible to κ^+ , the following analogue of the *Kechris-Louveau-Woodin dichotomy* holds for all disjoint definable subsets $X, Y \subseteq {}^{\kappa}\kappa$: Either there is a $\Sigma_2^0(\kappa^+)$ set A separating X from Y, i.e. $X \subseteq A$ and $Y \cap A = \emptyset$ or there is a homeomorphism f from ${}^{\kappa}2$ onto a closed subset of ${}^{\kappa}\kappa$ such that $f(G) \subseteq X$ and $f({}^{\kappa}2 \setminus G) \subseteq Y$.

It is consistent that every proper $\Sigma_2^0(\kappa^+)$ -set is $\Sigma_2^0(\kappa^+)$ -complete.

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Question

Is it consistent that $SLO^{W}_{\kappa}(\Sigma^{0}_{2}(\kappa^{+}))$ holds?

How far $\mathsf{SLO}^\mathsf{W}_\kappa$ holds

Fact 1 $\mathsf{SLO}^{\mathsf{W}}_{\kappa}(\mathbf{\Delta}^0_1(\kappa^+)) \text{ holds}.$

let
$$A_1B \in \Delta_1^{\circ}(K^+)$$
. Assume $A_1B \notin \{p, k_2\} \implies \exists b \in B$
Set $f:^{k_2} \xrightarrow{k_2}$
 $x \mapsto \begin{cases} b & \text{if } x \in A \\ c & \text{otherwise} \end{cases}$

How far SLO^W_{κ} holds

Fact 1 ${\rm SLO}^{\rm W}_\kappa({\pmb\Delta}^0_1(\kappa^+)) \mbox{ holds}.$

Fact 2

Let $C \subseteq {}^{\kappa}2$. If $C \in \Pi^0_1(\kappa^+) \setminus \mathbf{\Sigma}^0_1(\kappa^+)$, then C is $\Pi^0_1(\kappa^+)$ -complete.

How far SLO^W_{κ} holds

Fact 1 SLO $^{\sf W}_{\kappa}({\bf \Delta}^0_1(\kappa^+))$ holds.

Fact 2

Let
$$C \subseteq {}^{\kappa}2$$
. If $C \in \Pi^0_1(\kappa^+) \setminus \mathbf{\Sigma}^0_1(\kappa^+)$, then C is $\Pi^0_1(\kappa^+)$ -complete.

Fact 3

Let $\pmb{\Gamma}$ be a non selfdual boldface pointclass. If:

- 1. SLO^W($\Gamma \cap \check{\Gamma}$) holds
- 2. A is Γ -complete $\iff A \in \Gamma \setminus \check{\Gamma}$

then, $SLO^{W}(\Gamma)$ holds.

Hence, $SLO^W_{\kappa}(\boldsymbol{\Sigma}^0_1(\kappa^+))$ and $SLO^W_{\kappa}(\boldsymbol{\Pi}^0_1(\kappa^+))$ hold.

How far SLO^W_{κ} holds

Structure of our work for $\theta > 1$:

- 1. Show that $SLO^W_{\kappa}(\Gamma)$ holds for $\Gamma = D_{\theta}(\Pi^0_1(\kappa^+)) \cap \check{D}_{\theta}(\Pi^0_1(\kappa^+))$.
- 2. Every proper $\mathbf{D}_{\theta}(\mathbf{\Pi}_{1}^{0}(\kappa^{+}))$ -subset $C \subseteq \kappa^{2}$ is $\mathbf{D}_{\theta}(\mathbf{\Pi}_{1}^{0}(\kappa^{+}))$ -complete.
- 3. SLO^W_{κ}(**D**_{θ}(**Π**⁰₁(κ^+))) holds.

How far SLO^W_{κ} holds

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Thank you!

Some references



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