# The $\kappa^+$ -Borel hierarchy and changes of topologies

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# Generalised Baire Space and Large Cardinals February 09, 2024

From joint works (some still in progress) with Luca Motto Ros, Beatrice Pitton, and/or Philipp Schlicht



Der Wissenschaftsfonds.

#### The classical case:

A Borel space  $(X, \mathcal{B})$  is a set X with a  $\sigma$ -algebra  $\mathcal{B}$  on it such that

- $\mathscr{B}$  is countably generated and separates points of X, or, equivalently,
- $\mathcal{B}$  is generated by a metrizable second-countable topology on X.

A standard Borel space  $(X, \mathcal{B})$  is a Borel space such that, equivalently:

- ullet it is Borel isomorphic to a Borel subset of the Baire space  ${}^\omega\omega$  , or
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The topology is not unique.

Thus, the Borel sets of a Borel space can be stratified in different Borel hierarchies.

Let  $\kappa$  be a cardinal of (uncountable) cofinality  $\mu$  such that  $2^{<\kappa} = \kappa$ .

# Definition

A  $\kappa^+$ -Borel space  $(X, \mathcal{B})$  is a set X with a  $\kappa^+$ -algebra  $\mathcal{B}$  on it such that  $\mathcal{B}$  is generated by a family of size  $\leq \kappa$  and separates points of X.

#### Definition

A  $\kappa^+$ -Borel space  $(X, \mathcal{B})$  is **standard** if it is  $\kappa^+$ -Borel isomorphic to a  $\kappa^+$ -Borel subset of the generalized Baire space  ${}^{\mu}\kappa$ .

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What "Polish" topology could generate this?

Many candidates of "Polish-like" topologies:

• G-Polish spaces,

• ...

- Sph. complete C-Polish spaces,
- SC<sub>k</sub>-spaces with a metrizable-like condition,
- fSC<sub>k</sub>-spaces with a metrizable-like condition,

Theorem (A., Motto Ros, Schlicht/A., Motto Ros)

All above classes coincide up to  $\kappa^+$ -Borel isomorphism.

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# Theorem (A., Motto Ros, Schlicht/A., Motto Ros)

The following are equivalent for a  $\kappa^+$ -Borel space  $(X, \mathcal{B})$ :

- $(X, \mathcal{B})$  is standard  $\kappa^+$ -Borel,
- $\mathcal{B}$  is generated by a **fSC**<sub> $\kappa$ </sub> topology,
- $\mathcal{B}$  is generated by a sph. complete  $\mathbb{G}$ -Polish topology.

# Metrizable-like topologies

Many candidates of "metrizable-like" topologies:

- μ-metrizable spaces,
- $\mathsf{NS}^{\delta}_{\mu}$ -spaces for some  $\delta \leq \mu$ ,
- $\mu$ -uniformly based ( $\mu, \mu$ )-paracompact spaces,

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 $\mu\text{-uniformly based} + (\mu, \mu)\text{-paracompact}$   $\underbrace{\mathsf{NS}_{\mu}^{\mu}}$   $\underbrace{\mathsf{NS}_{\mu}^{\omega}}$   $\underbrace{\mathsf{NS}_{\mu}^{2}}$   $\mu\text{-metrizable}$ 

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 $\begin{array}{c} \mu \text{-uniformly based} + (\mu, \mu) \text{-paracompact}\\ \hline \\ NS^{\mu}_{\mu}\\ \hline \\ NS^{2}_{\mu}\\ \hline \\ \mu \text{-metrizable} \end{array}$ 

A family A of subsets of X is locally  $<\gamma$ -sized if every point of X has an open neighborhood that intersect  $<\gamma$ -many elements of A.

A family of sets is called NS $^{\gamma}_{\delta}$  if it is the union of  $\delta$ -many locally  $<\gamma$ -sized families.

We call X a  $NS^{\gamma}_{\delta}$ -space if it is (regular Hausdorff and) it has a base for the topology that is a  $NS^{\gamma}_{\delta}$ -family.

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# Theorem (Bing-Nagata-Smirnov Metrization Theorem)

The following are equivalent:

- X is metrizable
- **2** X is a  $NS_{\omega}^{\omega}$ -space.
- **3** X is a  $NS^2_{\omega}$ -space.

**Remark:** weight  $\leq \delta$  implies NS<sup>2</sup><sub> $\delta$ </sub>-space.

X is  $(\mu, \mu)$ -paracompact if every open cover of X has a NS<sup> $\mu$ </sup><sub> $\mu$ </sub>-refinement.

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The  $\mu$ -uniform local base game: at every round  $\alpha < \mu$ , player I pick a point  $x_{\alpha} \in X$ , and player II replies with an open set  $V_{\alpha}$  containing  $x_{\alpha}$ .

At the end of the game, player II wins if  $\bigcap_{\alpha < \mu} V_{\alpha} = \emptyset$  or if  $\{V_{\alpha} \mid \alpha < \mu\}$  is a local base of a point  $x \in X$ , otherwise I wins.

X is  $\mu$ -uniformly based if player II has a winning strategy.

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#### Theorem (A., Motto Ros)

X is metrizable if and only if it is  $((\omega, \omega))$ -paracompact and  $\omega$ -uniformly based.

## Theorem (Folklore?)

Let X be a set and let  $\mathcal{B}$  be a  $\kappa^+$ -algebra on X. The following are equivalent:

- $(X, \mathscr{B})$  is a  $\kappa^+$ -Borel space,
- $\mathscr{B}$  is generated by a (regular Hausdorff) topology of weight  $\leq \kappa$ ,
- $\mathscr{B}$  is generated by a metrizable-like topology of weight  $\leq \kappa$ ,
- (X, B) is κ<sup>+</sup>-Borel isomorphic to a subset of the generalized Baire space <sup>μ</sup>κ.

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What does the  $\kappa^+$ -Borel hierarchy generated by these topologies look like?

All topological spaces are assumed to be Hausdorff and regular.

Let  $(X, \tau)$  be a topological space and  $\gamma$  be a cardinal.

## Definition

The  $\gamma\text{-hierarchy}$  is defined by recursion on  $\alpha\text{:}$ 

• 
$$\Sigma_1^0(X,\tau,\gamma) = \tau;$$

• 
$$\Sigma^0_{lpha}(X, au,\gamma) = \{\bigcup \mathcal{A} \mid \mathcal{A} \subseteq \bigcup_{1 \leq eta < lpha} \Pi^0_{eta}(X, au,\gamma), |\mathcal{A}| < \gamma\}$$

• 
$$\Pi^0_{\alpha}(X,\tau,\gamma) = \{X \setminus A \mid A \in \Sigma^0_{\alpha}(X,\tau,\gamma)\}.$$

We also set  $\Delta^0_{\alpha}(X, \tau, \gamma) = \Sigma^0_{\alpha}(X, \tau, \gamma) \cap \Pi^0_{\alpha}(X, \tau, \gamma)$ .

We denote by  $Bor(X, \tau, \gamma)$  the smallest  $\gamma$ -algebra of sets generated by  $\tau$ .

# Definition

We say that the  $\gamma$ -hierarchy is increasing (above  $\delta$ ) if for all  $\alpha < \beta$  (resp., such that  $\delta \leq \alpha$ ) we have

$$\Sigma^0_{lpha}(X, au,\gamma)\cup\Pi^0_{lpha}(X, au,\gamma)\subseteq \Delta^0_{eta}(X, au,\gamma).$$

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Remark: by definition,

$$egin{aligned} \mathbf{\Sigma}_1^0(X, au,\gamma) &\subseteq \mathbf{\Pi}_2^0(X, au,\gamma) \subseteq \mathbf{\Sigma}_3^0(X, au,\gamma), \ \mathbf{\Pi}_1^0(X, au,\gamma) &\subseteq \mathbf{\Sigma}_2^0(X, au,\gamma) \subseteq \mathbf{\Pi}_3^0(X, au,\gamma). \end{aligned}$$

Thus, the  $\gamma$ -hierarchy is always increasing above 2, and it is increasing if and only if  $\Sigma_1^0(X, \tau, \gamma) \subseteq \Sigma_2^0(X, \tau, \gamma)$ .

The  $\kappa^+$ -hierarchy allows to stratify  $\kappa^+$ -Borel sets in classes.

**Remark:** Bor
$$(X, \tau, \kappa^+) = \bigcup_{\alpha < \kappa^+} \Sigma^0_{\alpha}(X, \tau, \kappa^+) = \bigcup_{\alpha < \kappa^+} \Pi^0_{\alpha}(X, \tau, \kappa^+).$$

**Remark:** If X is regular Hausdorff of weight  $\leq \kappa$ , then  $\tau \subseteq \Sigma_2^0(X, \tau, \kappa^+)$ . Thus the  $\kappa^+$ -hierarchy is (always) increasing. The  $\kappa^+$ -hierarchy allows to stratify  $\kappa^+$ -Borel sets in classes.

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$$(X, au, \kappa^+) = \bigcup_{lpha < \kappa^+} \Sigma^0_{lpha}(X, au, \kappa) = \bigcup_{lpha < \kappa^+} \Pi^0_{lpha}(X, au, \kappa).$$

In general, having weight  $\leq \kappa$  does not ensure  $\tau \subseteq \Sigma_2^0(X, \tau, \kappa)$ . This is true however for  $NS_{\mu}^{\omega}$ -spaces.

#### Question

Is the  $\kappa\text{-hierarchy}$  of any  $\mu\text{-uniformly}$  based ( $\mu,\mu)\text{-paracompact}$  space increasing?

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Thus, when  $\kappa$  is singular, we have two hierarchies (the  $\kappa^+$ -hierarchy and the  $\kappa$ -hierarchy), both of length  $\kappa^+$ , stratifying  $\kappa^+$ -Borel sets.

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# Theorem (A., Motto Ros, Pitton)

Let  $(X, \tau)$  be a space (of weight  $\leq \kappa$ ) and  $\alpha < \kappa^+$  be an infinite ordinal. If  $\alpha$  is even, then

$$\Sigma^0_{1+lpha}(X, au,\kappa) = \Sigma^0_{1+rac{lpha}{2}}(X, au,\kappa^+),$$

$$\Pi^0_{1+\alpha}(X,\tau,\kappa) = \Pi^0_{1+\frac{\alpha}{2}}(X,\tau,\kappa^+).$$

2 If  $\alpha$  is odd, then

$$\Sigma^0_{1+lpha}(X, au,\kappa)=\Pi^0_{1+lpha}(X, au,\kappa)=\Delta^0_{1+lpha}(X, au,\kappa).$$

Furthermore, if  $(X, \tau)$  is a NS<sup> $\omega$ </sup><sub> $\mu$ </sub>-space, the same is true for finite ordinals.

We call a topological space  $\mu$ -additive if every intersection of  $< \mu$ -many open sets is still open.

Let  $(X, \tau)$  be a topological space. Then there is always a smallest  $\mu$ -additive topology  $\tau'$  refining  $\tau$ . Notice that

$$ilde{\mathcal{B}} = \{ igcap \mathcal{A} \mid \mathcal{A} \subseteq au, |\mathcal{A}| < \mu, igcap \mathcal{A} = igcap_{\mathcal{A} \in \mathcal{A}} \mathsf{cl}(\mathcal{A}) \}$$

is a family of  $\tau$ -closed set generating  $\tau'$ . Thus,  $\tau' \subseteq \Sigma_2^0(X, \tau, \kappa^+)$ .

## Corollary

Let  $(X, \tau)$  be a space and let  $\tau'$  be the  $\mu$ -additive refinement of  $\tau$ . Then, for every infinite ordinal  $\alpha < \kappa^+$ ,

$$\boldsymbol{\Sigma}^{\boldsymbol{0}}_{\alpha}(\boldsymbol{X},\tau,\kappa^{+}) = \boldsymbol{\Sigma}^{\boldsymbol{0}}_{\alpha}(\boldsymbol{X},\tau',\kappa^{+})$$

In general, if  $(X, \tau)$  is a paracompact space and  $\tau'$  is the  $\mu$ -additive refinement of  $\tau$ , then  $(X, \tau')$  need not be paracompact.

# Theorem (A., Motto Ros)

Let  $(X, \tau)$  be a  $\mu$ -uniformly based,  $(\mu, \mu)$ -paracompact space. Let  $\tau'$  be the  $\mu$ -additive refinement of  $\tau$ . Then  $(X, \tau')$  is a paracompact.

### Corollary

Let  $(X, \tau)$  be a  $\mu$ -uniformly based,  $(\mu, \mu)$ -paracompact space. Let  $\tau'$  be the  $\mu$ -additive refinement of  $\tau$ . Then  $(X, \tau')$  is (a NS<sup> $\omega$ </sup><sub> $\mu$ </sub>-space) homeomorphic to a subset of  ${}^{\mu}\kappa$ .

Thus, it requires to change at most the first  $\omega$ -many levels of the  $\kappa$ -hierarchy to make it "nice".

Let either  $\gamma = \kappa$  or  $\gamma = \kappa^+$ .

### Definition

We say that the  $\gamma\text{-hierarchy}$  is collapsing if for some  $\alpha<\kappa^+$  ,

$$\Sigma^0_lpha(X, au,\gamma)=\Pi^0_lpha(X, au,\gamma)=\Delta^0_lpha(X, au,\gamma)={\sf Bor}(X, au,\gamma).$$

**Remark:** for a space of weight  $\leq \kappa$ , the  $\kappa$ -hierarchy collapses if and only if the  $\kappa^+$ -hierarchy collapses.

## Proposition (A., Motto Ros, Pitton)

Let  $(X, \mathcal{B})$  be a  $\kappa^+$ -Borel space. Then for all topologies  $\tau, \tau'$  of weight  $\leq \kappa$  generating  $\mathcal{B}$  we have that the  $\kappa^+$ -hierarchy of  $(X, \tau)$  collapses if and only if the  $\kappa^+$ -hierarchy of  $(X, \tau')$  collapses.

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**Proof:** if  $\tau, \tau'$  are two topologies of weight  $\leq \kappa$  generating the same  $\kappa^+$ -Borel structure, then there is  $\alpha < \kappa^+$  such that for all  $\beta > \alpha$ 

$$\Sigma^{0}_{\beta}(X,\tau,\kappa^{+}) = \Sigma^{0}_{\beta}(X,\tau',\kappa^{+}).$$

### Proposition (A., Motto Ros, Pitton)

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$$\Sigma^{0}_{\beta}(X,\tau,\kappa^{+}) = \Sigma^{0}_{\beta}(X,\tau',\kappa^{+}).$$

#### Corollary

Let  $(X, \tau)$  be a space of weight  $\leq \kappa$  with a  $\kappa^+$ -Borel embedding  $f : \kappa^2 \to X$ . Then, the  $\kappa^+$ -hierarchy on  $(X, \tau)$  does not collapse.

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